

CBS
Race Book Progressive Contest System Vax 1 APR 2004
Suggested Trial Procedures

Progressive Race Book Contest

1. For the first 2 days of the contest accounting or auditing personnel shall:
 - a. Trace 10% of the Receipt for HC Contest Fee receipts to the Transactions report and the Handicap Contest Fee/Prize Report.
 - b. Foot the Receipt for HC Contest Fee receipts and trace to the contest totals on the Transactions report.
 - c. For 10% of contestants foot each contestant's points on the Contestant Selections to ensure total is correct.
 - d. Regrade the winners handicapping selections.
 - e. Trace the winners on the Contestant Selections to the Contest Prize Information report.
 - f. Trace the winner(s) and prize payout on the Contest Prize Information to the following reports:
 - 1) Handicap Contest Fee/Prize Report (generate prior to payment)
 - 2) Transactions (subsequent to payment).
 - g. Trace the prize levels and percentage on the Contest Prize Information to the Exceptions report for contests and the posted contest rules.
 - h. Review the Transactions report for continuous sequencing of contest tickets.
 - i. Review all voids for propriety and regulation compliance and trace to the Transactions report.
2. For each day of the contest:
 - a. Calculate the amount of daily entries distributed to the progressive pool.
 - b. Prepare a spreadsheet that verifies the progressive pool has incremented properly.
3. On the day of the progressive payouts:
 - a. Verify the winner(s)' points were greater than or equal to the points required to hit the progressive.

- b. Trace the winner(s)' on the Contestant Selections to the Contest Prize Information report.
- c. Trace the winner(s) and prize payout on the Contest Prize Information report to the following reports:
 - 1) Handicap Contest Fee/Prize Report (generate prior to payment)
 - 2) Transactions (subsequent to payment)
- d. Trace the prize levels and percentage on the Contest Prize Information report to the Exceptions report for contests and the posted contest rules.